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7KH ,QGLDQ ,QVWLWXWH RI 6FLHQFH ,,6F KDV GLIIHU
JULHYDQFHV ERWK DFDGHPLF DQG QRQ DFDGHPLF PDW
UHVSRQVH WR DOO VWXGHVWV LQ OXPHUHQWV LQ OXPHUHQWV
LQ ZKLFK VWXGHQWV FDQ IUHHO\ H[Pá@ \ ढ(\ RWWKH

7R HQVXUH WKDW WKH YLHZV RI DJJULHYHG VWXGHQ
GLVFULPLQDWHG DJDLQVW QRU YLFWLPL]HG
LL

3URFHVV RI KDQGOLQJ

L \$Q DJJULHYHG VWXGHQW VKDOO ILUVW VXEPL
\$GYLVRU 5HVHDFK 6XSHUYLVRU ,Q FDVH WKH PD
IRUZDUG LW WR WKH '&& &KDLU RI WKH 'HSDUWPHQ
LL ,Q FDVH WKH JULHYDQFH LV QRW UHVROYHG VD
UHTXHVV WKH 'HDQV RI)DFXOW\ JLYLQJ WKH UHVV
LLL 'HDQV RI)DFXOW\ VKDOO YHULI\ WKH IDFWV DQ
WKH JULHYDQFH RI WKH VWXGHQW
LY ,Q FDVH WKH DJJULHYHG VWXGHQW LV QRW VDW
)DFXOW\ KH VKH FDQ VXEPLW DQ DSSHDO WR WKH
IURP WKH GDWH RI UHFHLSW RI GHFLVLRQ RI 'HDQV

% 1RQ \$FDGHPLF

D +RVWHO)DFLOLWLHV &RPSODLQWV UHJDUGLQJ
VHUYLFHV VDIHW\ DQG VHFUXULW\ LQVLGH WKH KR
FRPSODLQWV RI VH[XDO KDUDVVPHQW RI DQ\ IRUP
)LUVW 3RLQW RI &RQWDFW 5HVSHFWLYH KRVWHO
(VFDODWLRQ 3RLQW 6WXGHQWV \$IIDLUV &RPPLWWH

E ',*,76 :L)L ,QWHUQHW &RQQHFWLYLW\ &RPSXWHU
)LUVW 3RLQW RI &RQWDFW 2IILFH RI 'LJLWV
(VFDODWLRQ 3RLQW 6\$&

F \$PHQLWLHV 8WLWLW\ VWRUHV 'ULQNLQJ :DWH
ODLQWHQDQFH
)LUVW 3RLQW RI &RQWDFW 6DPDGKDQ &&0'
(VFDODWLRQ 3RLQW 6\$&

G 'HSW ZHOQHV FRPPLWVH :HOOQHVV FHQWHU &
)LUVW 3RLQW RI &RQWDFW \$VVLVWDQW 5HJLVWUD
(VFDODWLRQ 3RLQW 6\$&

H)LQDQFH \$FFRXQWV &ROOHFWLRQ RI IHHV 6FKR
)LUVW 3RLQW RI &RQWDFW)LQDQFLDO &RQWUROO
(VFDODWLRQ 3RLQW 6\$&

I 2WKHU LVVXHV OLNH , 'FDUGV 6DIHW\ DQG 6HFXUL
)LUVW 3RLQW RI &RQWDFW \$VVLVWDQW 5HJLVWUD
(VFDODWLRQ 3RLQW 6\$&

J 3K\VLVDO KHDOWK LVVXHV 0HGLVDO)DFLOLWLHV
KHDOWK LVVXHV
)LUVW 3RLQW RI &RQWDFW &02 +HDOWK &HQWHU
(VFDODWLRQ 3RLQW 6\$&

K &RPSODLQWV RI VH[XDO KDUDVVPHQW PD\ EH PDC
\$JDLQVW 6H[XDO +DUDVVPHQW ,&\$6+ 'HWDLOV RI
,,6F ZHEVLWH

3URFHVV RI KDQGOLQJ

L 7KH ,QVWLWXWH VKDOO SURYLGH RQ LWV ZHEVLWH
6\$& DQG WKH 2PEXGVSHUVRQ IRU WKH SXUSRVH RI D

LL7KH VWXGHQWV FDQ DSSURDFK DSSURSULDWH DXWK
FDVH WKH DJJULHYHG VWXGHQW LV QRW VDWLVLHG
WKH JULHYDQFH WKURXJK HPDLO WR 6\$& &KDLU

LL6\$& PD\ EH DSSURDFKHG LI WKH VWXGHQWV DUH QRW

LY7KH ODZ RI QDWXUDO MXVWLFH VKDOO EH REVHUYHG
JLYHQ

Y 6\$& VKDOO JLYH WKHLU UHFRPPHQGDWLRQV EDVHG
RI WKH VWXGHQW WR WKH FRQFHUQHG 'HDQ

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7KH IROORZLQJ FRPSODLQWV JULHYDQFHV VKDOO
6\$& 2PEXGVSHUVRQ IRU FRQVLGHUDWLRQ

L 'HFLVLRQV RI WKH &RXUW &RXQFLO 6HQDWH DQG R
FRQVWLWXWHG E\ WKH ,QVWLWXWH

LL&RPSODLQWV LQYROYLQJ SROLF\ PDWWHUV LQ ZKL
GLUHFWO\ LQGLUHFWO\

LL'HFLVLRQV UHJDUGLQJ WKH DZDUG RHHHFRQFZHWKLS
PHGDOV DQG RWKHU UHODWHG PDWWHUV

LY'HFLVLRQV UHJDUGLQJ GLVFLSOLQDU\ PDWWHUV PL
VWXGHQWV ,QVWLWXWH DWPSEHW WWHHUBBBDDQLWF
KHQFH RXWVLGH WKH SXUYLHZ RI VWXGHQW JULHY

Y 'HFLVLRQV UHJDUGLQJ UHFUXLWPHQW VHOHFWLRQ

YL\$QRQ\PRXV FRPSODLQWV

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)81&7,216 2) 20%8'63(5621

L 7KH 2PEXGVSHUVRQ VKDOO KHDU DSSHDOV IURP
WKH VWXGHQW KDV DYDLOHG RI DOO RWKHU UHP

LL\$OO VXFK DSSHDOV VKDOO EH URXWHG WKURXJK

LL:KLOH LVVXHV RI \$FDGHPLF PDWWHUV PD\ EH UH
VXFK DSSOLFDWLRQ VKDOO RQO\ EH HQWHUWDLG
VSHFLILF LUUHJXODULW\ OHDGLQJ WR VWXGHQW

LY7KH 2PEXGVSHUVRQ PD\ DYDLO DVVLVWDQFH R
FRPSODLQWV JULHYDQFHV

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L *ULHYDQFHV QRW UHVROYHG E\ WKH 6\$& ZLWKLQ
2PEXGVSHUVRQ

LL 7KH 2PEXGVSHUVRQ VKDOO DIWHU JLYLQJ UH
KHDUG WR WKH SDUWLHV FRQFHUQHG RQ WKH F
RUGHU ZLWK UHVRQV WKHUHRI DV PD\ EH GH
DQG SURYLGH VXFK UHOLHI DV PD\ EH DSSURSUL

LLL7KH ,QVWLWXWH DV ZHOO DV WKH DJJULHYHG V
RI WKH RUGHU XQGHU WKH VLJQDWXUH RI WKH 2P

LY 7KH LQVWLWXWLRQ PD\ FRPSO\ ZLWK WKH UHFRP

Y 7KH 2PEXGVSHUVRQ PD\ UHFRPPHQG DSSURSUL
FRPSODLQDQW ZKHUH D FRPSODLQW LV IRXQG W

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7KLV SROLF\ ZLOO EH UHYLHZHG SHULRGLFDOO\ WR UH